

Developmental Disabilities Administration Waiting List Initiative FY 12

Provider Training
August 26, 2011

Today's Agenda

- Overview
- Status of People Targeted
- Action Plan
- Capacity
- Streamlined Service Initiation
- Fiscal Process
- Individual Plan
- Services of Short Duration
- Waiting List Initiative Tracking and Monitoring

Senate Bill 994

“Sales and Use Tax – Alcoholic Beverages – Supplementary Appropriation

- Provides \$15,000,000 to fund a Waiting List initiative for the Developmental Disabilities Administration (DDA) for fiscal year 2012
- The funds are to supplement the DDA's fiscal year 2012 appropriation.
- Priorities are for people on the DDA Waiting List in the Crisis Resolution and Crisis Prevention priority categories.
- Effective July 1, 2011.

Guiding Principles

- Spend the funds as legislature intended: funding services for people in the crisis resolution and crisis prevention categories.
- Given the significant unmet need, spend \$15 million to serve people with developmental disabilities.
- Maximize the use of State General funds with a federal match.

Who Provided Input?

Group	Date
DD Coalition	June 9, 2011
Waiting List Advisory Committee	June 13, 2011 August 12, 2011
Services of Short Duration	June 20, 2011 and June 27, 2011
Service Initiation Process	June 24, 2011
Provider Capacity Meeting	July 11, 2011
Resource Coordination Capacity Meeting	July 12, 2011

Proposed Stages of Implementation

Stage 1 of Implementation

July 2011 – January 2012

- Place everyone in Crisis Resolution in appropriate services.
- People in the Crisis Prevention category would be reminded of the criteria for the Crisis Resolution category and reassessed as appropriate.
- Offer funding this fiscal year for services of short duration to people in the Crisis Prevention category.

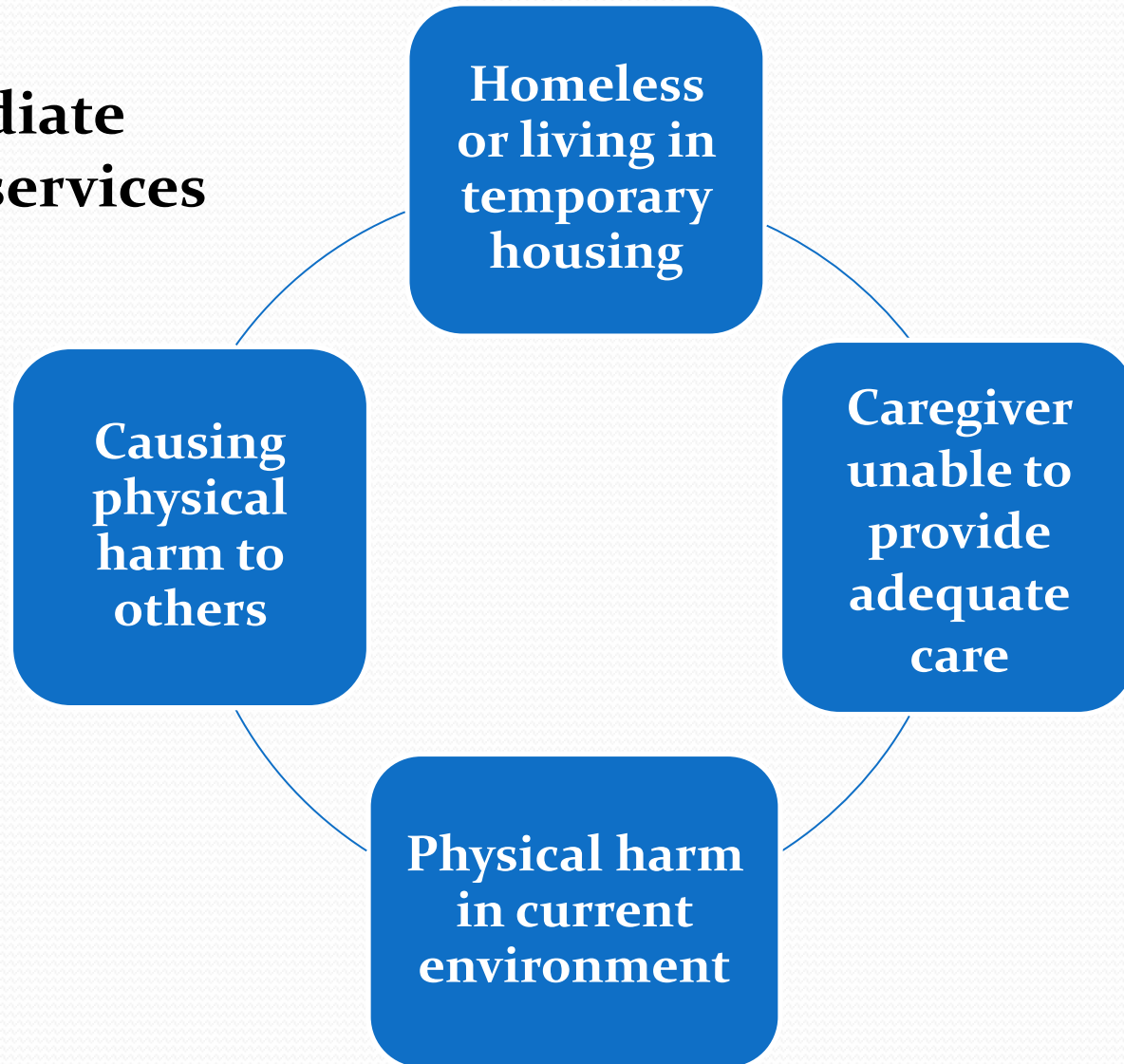
The DDA Waiting List

DDA Waiting List information reflected below is
data as of July 1, 2011

DDA Waiting List				
Eligibility Category	Crisis Resolution	Crisis Prevention	Current Request	Total
Developmental Disability	100	764	3339	4203
Supports Only	7	375	1953	2335
Total	107	1139	5292	6538

People in Crisis

**Immediate
need for services**



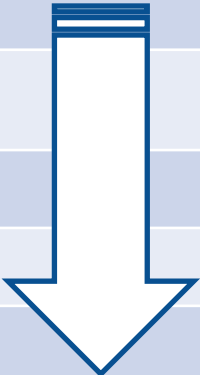
Crisis Resolution

May 2011 - August 2011

	Number of People	Percentage
Total People Targeted	186	N/A
Total Removed from CR Category	114	61% (114/186)
Placed in services	84	74% (84/114)
Prior to July 1 st	42	50% (42/84)
After July 1 st	42	50%(42/84)
Moved to another priority	17	15% (17/114)
Deceased	2	2% (2/114)
Inactivated	1	1%(1/114)
Current # of People in CR Category	72	39% (72/186)

Data reflects unduplicated people and service initiation status reported as of August 25, 2011.

Current People in Crisis Resolution Traditional Service Initiation Status

	Number of People	
People in CR Category		72
Assigned a resource coordinator		72
Needs assessed		72
Individual Plan Developed		64
Service Funding Plan		58
	Service Initiation	

Note: Data as of August 25, 2011.

Services Initiated

Service	Number of People
Residential	46
Day	15
Supported Employment	11
Community Supported Living Arrangement	9
Behavioral Supports/Respite	1

Note: Data as of August 10, 2011 based on service funding plan.

Data reflects duplicative counts as people access more than one type of service model.

Waiting List

Crisis Resolution by Service Request



Services data are duplicative counts of people as many express interest in some or all of the service categories available for funding.

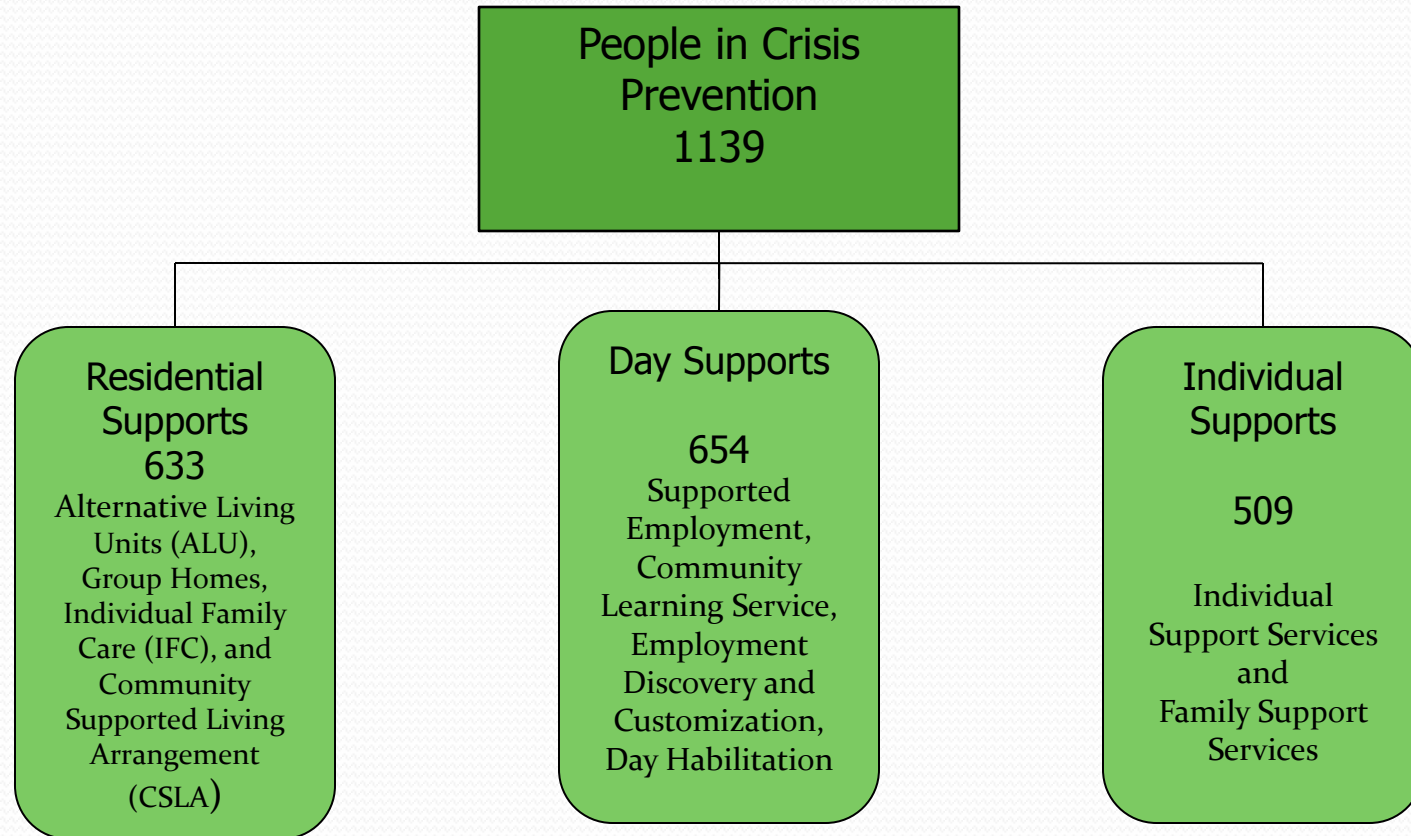
Data as of August 25, 2011

People Expected to Join Crisis Resolution Category During Fiscal Year 2012

- We estimate that eleven (11) people will become eligible for the crisis resolution category each month

Waiting List

Crisis Prevention by Service Request



Services data are duplicative counts of people as many express interest in some or all of the service categories available for funding.

Data as of July 1, 2011.

Waiting List Initiative Action Plan

- Resource Coordination services for people identified
- People in Crisis Resolution to receive comprehensive services under this initiative
 - Immediately triaging emergency services (i.e. respite, behavioral supports, in home supports, etc.)
 - Planning for long term supports strategies (i.e. vocational and residential supports)
- People in Crisis Prevention to receive service of short duration (i.e. FSS or ISS)

Statewide Providers Capacity Assessment

- MACS and non-MACs providers surveyed
 - Ability to provide immediate services (to address crisis)
 - Interest in expanding

Provider Capacity Survey Results

- 89 Total surveys returned
(44% of all DDA licensed providers)
33 Central / 24 Southern
18 Western / 14 Eastern
- 22 Providers indicated an interest in expanding services
- Some interested in expanding into other regions

Service	24-72 Hours	1-3 Months	4-6 Months	7-9 Months	10-12 Months
Residential	5	25	17	X	3
CSLA	6	33	6	X	X
Supported Employment	8	24	3	1	X
Day Habilitation	9	22	3	1	X
FISS	7	27	3	X	X

Providers Interested in Expansion by County

<u>COUNTY</u>		<u>CR#</u>
Allegany	0	0
Carroll	3	2
Frederick	2	3
Garrett	0	1
Washington	0	1
Anne Arundel	10	3
Baltimore	2	14
Baltimore City	0	14
Harford	3	3
Howard	8	2

<u>COUNTY</u>		<u>CR#</u>
Calvert	3	3
Charles	2	0
Montgomery	8	13
Prince Georges	7	6
St. Mary's	4	6
Caroline	3	0
Cecil	2	0
Dorchester	4	0
Kent	4	0
Queen Anne	4	0
Somerset	2	0
Talbot	3	0
Wicomico	2	0
Worcester	2	1

Estimated DDA Licensed Provider Capacity (Excluding RC)

Licensed Providers	204
Licensed Capacity	14171
Occupancy	7324
Potential Capacity	6847

Statewide Resource Coordination Capacity Assessment

- Survey sent to Resource Coordination providers regarding current FTEs

Resource Coordination Capacity

- Priority for Resource Coordination realigned for people on the Waiting List
- Everyone in Crisis Prevention (except in Montgomery County) has a Resource Coordinator or will be assigned as identified. We are working with Montgomery County to assure services.

Waiting List Initiative Streamlined Service Initiation Process



Waiting List Initiative Commitment

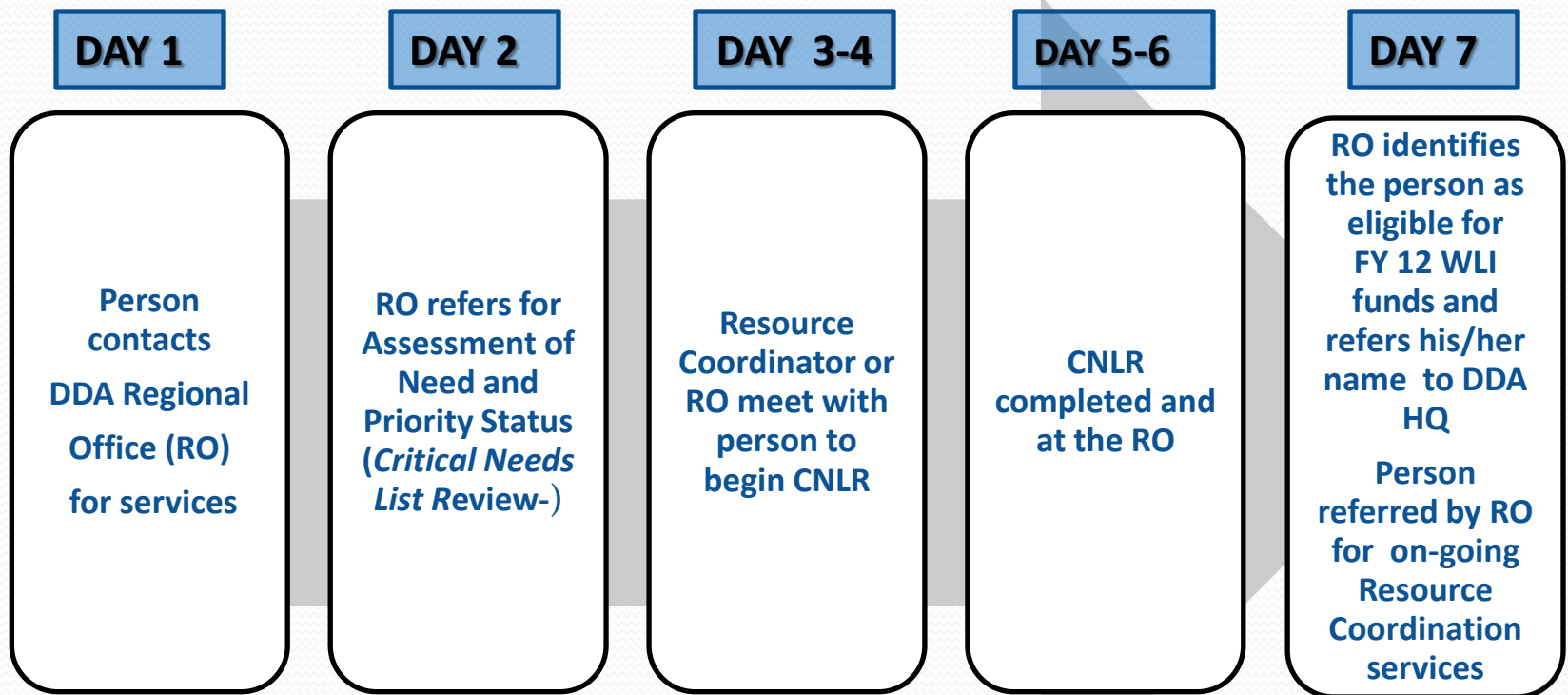
- Commitment to:
 - Expedite processing
 - Tracking/Reporting
 - Triaging emergency services
 - Piloting new IP module

Basic Changes to Service Initiation Process

Streamline key activities such as :

- Initial assessment of need
 - Critical Needs List Recommendation
 - Matrix Assessment
- Plan development
- Fiscal Processes
 - Expedited funding protocol to determine funding allocation amounts and disbursement to support people to begin services as quickly as possible.
 - Individual Plan versus Service Funding Plan

Week 1 : Service Initiation Process for Alcohol Tax Waiting List Initiative



Week 2: Service Initiation Process for Alcohol Tax Waiting List Initiative

**SERVICES
CAN NOW
BEGIN**

DAY 8

HQ will enter the person's name on the WLI Tracking Form

Resource Coordinator assigned by local RC provider

DAY 9

WLI Funding Authorization Letter sent to the person & Resource Coordinator
Matrix assessment requested
Resource Coordinator Contacts Person/Family

DAY 10

RC Initial Meeting to:

- Develop Plan
- Develop a preliminary budget
- Waiver App & Forms
- Explore generic & community resources

DAY 12

Service Provider Exploration (Residential, and/or Day Habilitation / Supported Employment Services)

DAY 13

Matrix score received
Person's budget adjusted (if applicable)
Waiver Packet to HQ
Updated Funding Letter

DAY 14

Individual Plan updated with new funding



Fiscal Process

- **Expedited Funding**
- **Authorization Document**
 - **Waiting List Initiative Funding Authorization Letter**
- **Individual Plan**

Expedited Funding Proposal

Waiting List Initiative Funding Authorization

- Given to the person and Resource Coordinator to share with the provider
- Commits to funding for services
- Authorizes base levels of funding
- May include:
 - Support services
 - Day Habilitation/Supported Employment services
 - Residential /CSLA services
- Residential services will also include service initiation funds
- Funding allocations will be adjusted (as appropriate) after Individual Rating Scale (IIRS) is completed

Support Services Funding Proposal

Before a long-term service provider is selected

- Can be used as a triage strategy and long-term support
- Immediate services to address crisis needs with long-term planning
- Amount of allocation determined by:
 - FY 11 Family and Individual Support Services (FISS) allocations
 - County where services are provided

Initial Residential Services Funding Determinations



- People may choose:
 - Existing DDA licensed site
 - New DDA licensed site
- Initial base budget at a minimum = approx.\$35,000
- Based on county of residence
- Up to an additional \$5,000 for transitional supports
- Additional monies for transportation services may be included

Transportation services include supporting the person in learning how to access and utilize informal, generic, and public transportation for independence and community integration.

- \$5,000 - \$7,500 based on accessibility needs

Initial Day Habilitation and Supported Employment Services Funding Determinations

- Initial base budget of \$12,000
- Based on county of residence
- Additional monies for transportation services may be included

Transportation services include supporting the person in learning how to access and utilize informal, generic, and public transportation for independence and community integration

- \$5,000 - \$7,500 based on accessibility needs



Pilot Individual Plan

- Propose piloting new shorter, streamlined document
- Proposed plan includes outcomes, service scope, frequency, duration, provider, and funding
- Also includes initial triage services, long-term service plans, and accessing generic/community services
- Serves as a legal contract for other Medicaid waiver programs
- Propose to use in lieu of service funding plan

Services of Short Duration



Services of Short Duration

Target Group – People in Crisis Prevention

Guiding Principle - Given that people on the DDA Waiting List in the Crisis Prevention category are at risk of crisis within one year, provide funding during FY 12 for services and/or supports to address and prevent the crisis from occurring.



Proposed Approach

1. Provide *funding for services and supports that address the immediate crisis trigger(s)*
 - *One time only* (e.g. payment of outstanding utility or medical bills, etc.) *or*
 - *Services of short duration* (i.e. respite services, behavioral support services, etc.)
2. If needed, provide funding for *intervention services to support the person or family to prevent future crisis or the reoccurrence of the crisis*

Information for People and Families

- Send **letters** informing people of the ability to access funding for services and assistance available.
- Develop “**frequently asked questions**” document and post on DDA website.
- Provide **user friendly guide** for people and families to understand intent of services, supports available, and resources available (i.e. DDA licensed providers, local services, funding, etc.).

Support for People and Families

➤ Provide assistance for people and families via DDA to identify service and support needs, service providers, and assist with request submission. Assistance with this can be obtained from:

- ✓ Resource Coordination providers
- ✓ Low Intensity Support Services providers
- ✓ Family and Individual Support Services providers

Service Examples

Services of short duration should be individualized, simple, meaningful, and address the immediate crisis need or trigger(s).

Examples include:

Individual and family counseling	Personal care	Day care
Specialized equipment	Health Services	Respite care
Housing adaptations	Transportation	Barrier Removal
Therapeutic Services	Community integration services	
Medical equipment	Employment related services	
Other services to mediate, provide advice, and/or support the person or family to prevent future crisis or the crisis reoccurrence		

Funding Guidelines



- Provide up to \$10,000 per person to address the immediate crisis trigger(s) and intervention services.
- Provide funding for services to both DDA and non-DDA licensed service providers.
- Commitment and payment of funding until June 30, 2012. Services funded may carry over into next fiscal year.
- Utilize an exception protocol to meet unique needs or circumstances.

How Will People Access Funds?

- Plan for crisis needs with assistance from Resource Coordinator, Low Intensity Support Services (LISS) provider, or Family and Individual Support Services provider
- Complete a simple *Services of Short Duration Funding Request* form
- Submit the form to a LISS provider who will administer funding for services of short duration

Analysis and Expansion

- Expand targeted group for services of short duration based on tracking and analysis of actual and projected expenditures under the initiative
- Evaluate the impact of the funding

Projected Funds Available for Services of Short Duration in FY 12

	Total Funds	General Funds	Federal Funds
People in Crisis Resolution as of May 24 th	\$8.5 Million	\$5.6 Million	\$2.8 Million
People expected to join the crisis resolution category during the fiscal year	\$5.6 Million	\$3.6 Million	\$1.8 Million
<i>Total Expenses for People in Crisis Resolution</i>	\$14.1 Million	\$9.2 Million	\$4.6 Million
<i>Available Funds for People in Crisis Prevention</i>		\$5.8 Million	

The estimate for available funds for Crisis Prevention is based on the difference between \$15 million minus \$9.2 million (the projected general fund cost to support people in crisis resolution during the fiscal year).

Services of Short Duration

Target Group – People on DDA Waiting List in Crisis Prevention priority category

DDA Waiting List		
Eligibility Category	Crisis Prevention	Total
Developmental Disability	764	4203
Supports Only	375	2335
Total	1139	6538

DDA Waiting List data as of July 1, 2011

Potential Numbers of People Who Could Receive Funding

Minimum - 580 people

- This calculation is based on the 580 people utilizing the full \$10,000 funding allocation.

$$(580 \text{ people} \times \$10,000 = \$5.8 \text{ M})$$

Maximum - 1,096 people

- This calculation is based on the 1,096 people utilizing FISS cost mode of \$5,290.

$$(1096 \text{ people} \times \$5290 = \$5.79 \text{ M})$$

Estimates are based on funding for services and supports that address the immediate crisis trigger and intervention services **only**.

Note: FISS Mode based on FY 10 data

Waiting List Initiative Tracking and Monitoring

- When assigned a Resource Coordinator
- When Funding Authorization Letter sent
- When needs assessed (Matrix)
- When Individual Plans (IPs) completed
- When services started as designated in their IP

Questions